American families are faced with the challenge of finding and affording quality child care. Parents must navigate a patchwork system of providers, and for many, the system (or lack thereof) can make finding, accessing, and affording this care a nightmare. This is especially true for low-income families who must also navigate the subsidy system. And once in a program, dealing with the fragmentation of services further costs parents time and money. For example, when children age out of Early Head Start at age 3, parents are required to reapply for Head Start.

While Congress allocates billions of dollars to federal agencies for ECE, state governments are tasked with coordinating and combining the many different ECE funding streams allocated to them. The way states administer these funds can result in inconsistencies in program implementation and monitoring, leading to even more frustration for families. Requests for studies from the U.S. Government Accountability Office (GAO) dating back to 1994 show a consistent belief that there would be sufficient funding to serve most children if duplication and overlap of ECE funds were reduced and states aligned programs more efficiently. States, on the other hand, frequently point to the federal government as the reason for the duplication.

Congress, however, intended for states to be more aggressive in coordinating ECE programs with the creation of the State Advisory Council (SAC) for Early Childhood Education and Care in the Improving Head Start for School Readiness Act of 2007. SACs are responsible for supporting state efforts in identifying opportunities for collaboration and coordination among ECE programs and facilitating the development of high quality ECE systems. Despite SACs being required by law, only 45 states and the District of Columbia have functioning SACs.

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Additionally, while GAO reports have provided more information on administration at the federal level, there remains little information about administration at the state level. A 2017 GAO report on the topic of fragmentation and overlap in ECE found that, at the federal level, there was improved coordination resulting in more efficient administration of funds. The report identified nine major programs with an explicit purpose of providing support to early learning or child care programs. To date, however, GAO has not looked at state implementation of the nine federal funding streams.

The Bipartisan Policy Center set out to examine in greater depth the issues of administration (governance), coordination, and integration by reviewing the requirements set forth in the various authorizing statutes and followed by federal agency requirements, as well as how the states were administering ECE funds. For all but one federal program, governors have wide discretion with regard to the administration and coordination of these funds.
Quality Rating Improvement System (QRIS)
QUALITYstarsNY has five levels with higher levels indicating higher levels of quality. The QRIS is statewide and participation is voluntary. The QRIS currently serves approximately 687 center-based programs, family-home providers, and public schools in targeted communities who applied and were selected to participate.

Early Childhood Advisory Council - Child Care Quality Rating Improvement System
The Early Childhood Advisory Council (ECAC), appointed by the governor, provides strategic direction and advice to the state on early childhood issues. By monitoring and guiding the implementation of a range of strategies, the ECAC supports New York in building a comprehensive and sustainable early childhood system that will ensure success for every young child.

Office of Special Education and Rehabilitative Services
- Part B, Section 619
- Part C

Office of Early Learning
- PDG
- State Pre-K

Office of Children and Family Services, Division of Child Care Services - Child Care Licensing, Subsidy and Quality
- CCDF
- PDG

Office of Family Assistance
- TANF

Office of the Governor

Early Childhood Advisory Council
- Child Care Quality Rating Improvement System
- SAC
- QRIS

Office of Children and Family Services, Division of Child Care Services - Child Care Licensing, Subsidy and Quality
- CCDF
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Office of Family Assistance
- TANF

Office of Special Education
- Part B, Section 619
- Part C

Office of Early Learning
- PDG
- State Pre-K

Office of the Governor

New York
Office of the Governor

Flow of Federal Early Childhood Funds: New York

Local Institutions

Early Head Start-Child Care Partnership
Grants to local organizations

To Institutions of Higher Education in the State
### ECE Funding in New York

<table>
<thead>
<tr>
<th>Funding from Nine GAO-Identified Programs</th>
<th>FY 2016 Funding</th>
<th>Numbers Served</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Children</td>
<td>Programs</td>
</tr>
<tr>
<td>CCDF Expenditure</td>
<td>$856,116,705</td>
<td>120,400</td>
<td>41,664</td>
</tr>
<tr>
<td>Transfer from TANF</td>
<td>$377,075,500 (14.1%)</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Head Start Allocation</td>
<td></td>
<td>64,588</td>
<td>265</td>
</tr>
<tr>
<td>Head Start</td>
<td>$548,975,254</td>
<td>54,352</td>
<td>183</td>
</tr>
<tr>
<td>Early Head Start</td>
<td></td>
<td>10,236</td>
<td>82</td>
</tr>
<tr>
<td>IDEA Part C, Allocation (infant/toddler)</td>
<td>$26,106,078</td>
<td>58,038</td>
<td>--</td>
</tr>
<tr>
<td>IDEA Part B, Section 619 Allocation (3-5 years)</td>
<td>$32,771,755</td>
<td>66,317</td>
<td>--</td>
</tr>
<tr>
<td>Preschool Development Grant</td>
<td>$22,961,093</td>
<td>2,350</td>
<td>--</td>
</tr>
<tr>
<td>CCAMPIS FY17 Grants</td>
<td>$1,526,501</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Promise Neighborhoods FY16</td>
<td>$0</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Striving Readers Comprehensive Literacy</td>
<td>$0</td>
<td>--</td>
<td>--</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Additional ECE Funding Streams</th>
<th>FY 2016 Funding</th>
<th>Numbers Served</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>TANF Child Care Expenditure (Direct)</td>
<td>$101,983,998</td>
<td>Not Reported</td>
<td></td>
</tr>
<tr>
<td>TANF Pre-K / Head Start Expenditure</td>
<td>$466,203,311</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CACFP Allocation</td>
<td>$252,786,109</td>
<td>349,885</td>
<td>--</td>
</tr>
<tr>
<td>Race to the Top, Early Learning Challenge</td>
<td>$0</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Early Head Start-Child Care Partnership</td>
<td>$25,400,000</td>
<td>Not Reported</td>
<td></td>
</tr>
</tbody>
</table>

### BPC Reviewed

BPC reviewed the following federally-funded programs to assess the efficiency and integration of New York’s early care and education system.

- Child Care and Development Fund (CCDF)
- Head Start
- Child Care Access Means Parents in School (CCAMPIS)
- Early Intervention Program for Infants and Toddlers with Disabilities (IDEA Part C)
- Preschool Development Grants (PDG)
- Preschool Grants for Children with Disabilities (IDEA Part B, Section 619)
- Promise Neighborhoods
- Striving Readers Comprehensive Literacy
- Child and Adult Care Food Program (CACFP)
- Temporary Assistance for Needy Families (TANF)
- Early Head Start - Child Care Partnership (EHS-CCP)
- Race to the Top, Early Learning Challenge

### Number of Children Under Age 6

<table>
<thead>
<tr>
<th>Age Group</th>
<th>Number of Children</th>
</tr>
</thead>
<tbody>
<tr>
<td>UNDER 3</td>
<td>682,326</td>
</tr>
<tr>
<td>3-4 YEARS OLD</td>
<td>471,494</td>
</tr>
<tr>
<td>5 YEARS OLD</td>
<td>225,318</td>
</tr>
</tbody>
</table>

### Percentage of Children in Poverty

- **22.2%**

### Median Family Income

- **2-PERSON FAMILY**: $66,667
- **4-PERSON FAMILY**: $96,527
Understanding and Aligning Eligibility Across Programs

Eligibility Requirements Set by State

**Child Care And Development Fund**
Children under age 13 are eligible. Families in receipt of public assistance or those who are employed, and have applied for and would otherwise be eligible for public assistance but choose to receive child care assistance in lieu of public assistance are guaranteed child care assistance. Working families transitioning from public assistance or in lieu of public assistance that meet programmatic and financial criteria are also guaranteed child care assistance. Working families transitioning from public assistance or in lieu of public assistance provided that their incomes are up to 200 percent of the federal poverty guidelines are eligible.

**State Pre-K (FY17)**
3- and 4-year-olds, regardless of household income, are eligible for the New York Prekindergarten Program.

- Total State Spending on Pre-K: $791,700,144
- Local Match: No
- Total State Head Start Spending: $0
- Total FY 2017 Enrollment: 122,871

**IDEA Part C (infant/toddler)**
Children age birth to 36 months with 33 percent or 2.0 standard deviation developmental delay in at least one of five developmental areas, 25 percent or 1.5 standard deviation developmental delay in two or more areas, a developmental delay condition, and those experiencing 12 month delay or those at-risk of developmental delay are eligible.

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Eligibility Requirements Set Federally

**CACFP**
Public or private nonprofit child care institutions which are licensed or approved may participate. For profit centers must receive title XX funds for at least 25 percent of enrolled children or licensed capacity or at least 25 percent of the children in care must be eligible for free and reduced price meals. A family or group day care home must sign an agreement with a sponsoring organization to participate and must be licensed or approved.

**Head Start**
Children birth to age five living in poverty are generally eligible. Children with disabilities, children whose families receive TANF or SSI, children whose families are homeless, or children who are in foster care are categorically eligible.

**IDEA Part B, Section 619 (3-5 years)**
Children three to five years, who have any of the qualifying IDEA Part B overall conditions (Developmental Delay, Deaf-Blindness, Emotional Disturbance, Hearing Impairment, Mental Retardation, Multiple Disabilities, Orthopedic Impairment, Other Health Impairment, Specific Learning Disabilities, Speech and Language Impairment, Visual Impairment, Autism and Traumatic Brain Injury) are eligible.

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Preparring our children for future success should be an American issue, not a partisan issue. BPC is working to bring together voices from both parties to empower and support children and working families.